

IDS - 09/29/2006

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 456362868 US, on the date shown below in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 28, 2006 Signature:

(Rosemarie Pulic-Salmeron)



PATENT
Docket No. 559412000200
Client Ref. No. P16226DrB/SAN/bin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Dolores SCHENDEL et al.

Serial No.: 10/665,111

Filing Date: September 16, 2003

For: SEMI-ALLOGENIC ANTI-TUMOUR
VACCINE WITH HLA HAPLO-
IDENTICAL ANTIGEN-PRESENTING
CELLS

Examiner: K. Canella

Group Art Unit: 1643

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. A copy of the non-patent literature is submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

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10/14/2006 RETENTION 00000077 031952 180.00 M

02 FC:1806

- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - A fee is required. A check in the amount of __ is enclosed.
 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of __ is enclosed.
 - A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

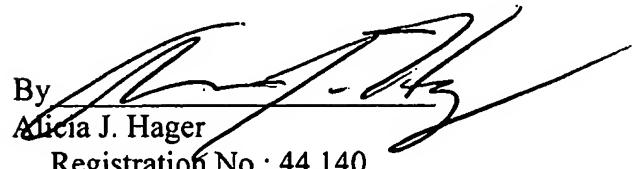
The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

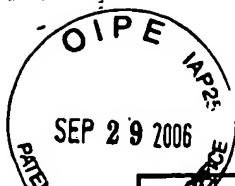
In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other

fees due in connection with the filing of this document to Deposit Account No. 03-1952
referencing 559412000200.

Dated: September 28, 2006

Respectfully submitted,

By 
Alicia J. Hager
Registration No.: 44,140
MORRISON & FOERSTER LLP
755 Page Mill Road
Palo Alto, California 94304-1018
(650) 813-4296



SEP 29 2006

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/665,111
				Filing Date	September 16, 2003
				First Named Inventor	Dolores SCHENDEL
				Art Unit	1643
				Examiner Name	K. Canella
Sheet	1	of	1	Attorney Docket Number	559412000200

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
KAC	1.	US-4,415,553-A	11-15-1983	Zhabilov et al.	
	2.	US-4,568,542-A	02-04-1986	Kronenberg	
	3.	US-4,789,658-A	12-06-1988	Yoshimoto et al.	
	4.	US-4,877,611-A	10-31-1989	Cantrell	
	5.	US-5,156,841-A	10-20-1992	Rapp	
	6.	US-5,290,551-A	03-01-1994	Berd	
	7.	US-5,582,831-A	12-10-1996	Shinitzky	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
					T ⁶

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
KAC	8.	Kugler, A. et al. (September 2003). RETRACTION: "Regression of Human Metastatic Renal Cell Carcinoma After Vaccination with Tumor Cell-Dendritic Cell Hybrids," <i>Nature Medicine</i> 9(9):1221.			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Karen A. Canella, Ph.D./	Date Considered	12/11/2006
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IDS - 04/29/2004
APR 29 2004

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM

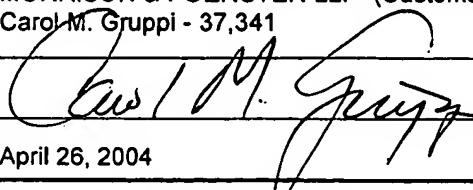
(to be used for all correspondence after initial filing)

		Application Number	10/665,111
		Filing Date	September 16, 2003
		First Named Inventor	Dolores SCHENDEL
		Art Unit	1645
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	8 + 20 ref.	Attorney Docket Number	559412000200

ENCLOSURES (Check all that apply)

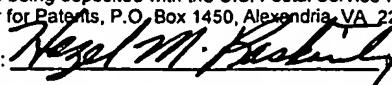
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. Form PTO-1449 + copy - 4 pages 2. Twenty (20) references 3. Return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement (3 pages)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Carol M. Gruppi - 37,341
Signature	
Date	April 26, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: April 26, 2004

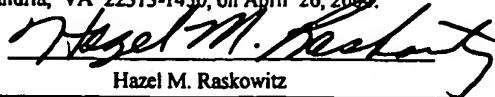
Signature:  Hazel M. Raskowitz



PATENT
Docket No. 559412000200

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 26, 2004.


Hazel M. Raskowitz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Dolores SCHENDEL et al.

Serial No.: 10/665,111

Filing Date: September 16, 2003

For: SEMI-ALLOGENIC ANTI-TUMOUR
VACCINE WITH HLA HAPLO-
IDENTICAL ANTIGEN-PRESENTING
CELLS

Examiner: Not Yet Assigned

Group Art Unit: 1645

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
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 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
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- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **559412000200**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

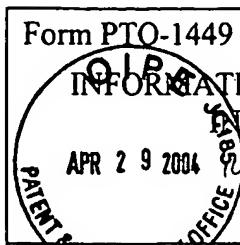
Dated: April 26, 2004

Respectfully submitted,

By:


Carol M. Gruppi
Registration No. 87,341

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Palo Alto, California 94304-1018
Telephone: (650) 813-5777
Facsimile: (650) 494-0792

 Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION APR 29 2004 <i>Use several sheets if necessary</i>	Docket Number 559412000200		Application Number 10/665,111
	Applicant		Dolores SCHENDEL et al.
	Filing date September 16, 2003		Group Art Unit 1645
	Mailing Date April 26, 2004		

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
KAC	1.	05/16/2000	6,063,375	Newton III et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
KAC	2.	08/06/1998	WO 98/33527 (A2 and A3)	WIPO			
KAC	3.	12/21/2000	WO 00/76537 (A2 and A3)	WIPO			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
KAC	4.	Altman, J. D. et al. (1996). "Phenotypic Analysis of Antigen-specific T Lymphocytes," <i>Science</i> 274:94-96.
	5.	Boczkowski, D. et al. (2000). "Induction of Tumor Immunity and Cytotoxic T Lymphocyte Responses Using Dendritic Cells Transfected with Messenger RNA Amplified from Tumor Cells," <i>Cancer Res</i> 60:1028-1034.
	6.	Childs, R. et al. ((2000). "Regression of Metastatic Renal-Cell Carcinoma After Nonmyeloablative Allogeneic Peripheral-Blood Stem Cell Transplantation," <i>N. Engl. J. Med</i> 343(11):750-758.
	7.	Dannull, J. et al. (2000). "Current Status of Dendritic Cell-Based Tumor Vaccination," <i>Onkologie</i> 23:544-551.
	8.	Gong, J. et al. (2000). "Fusions of Human Ovarian Carcinoma Cells with Autologous or Allogeneic Dendritic Cells Induce Antitumor Immunity," <i>J. Immunology</i> 165:1705-1711.
	9.	Heiser, A. et al. (2000). "Human Dendritic Cells Transfected with RNA Encoding Prostate-Specific Antigen Stimulate Prostate Specific CTL Responses in Vitro," <i>J. Immunol.</i> 164:5508-5014.
	10.	Jantzer, P. et al. (1998). "Human Renal Cell Carcinoma Antigen-Specific Cytotoxic T Lymphocytes: Antigen-Driven Selection and Long-Term Persistence in Vivo," <i>Cancer Res.</i> 58:3078-3086.
▼	11.	Kolb, H.-J et al. (1995). "Graft-Versus-Leukemia Effect of Donor Lymphocyte Transfusions in Marrow Grafted Patients," <i>Blood</i> 86(5):2041-2050.

EXAMINER: /Karen A. Canella, Ph.D./

DATE CONSIDERED: 12/11/2006

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)			Docket Number 559412000200	Application Number 10/665,111
			Applicant	Dolores SCHENDEL et al.
			Filing date September 16, 2003	Group Art Unit 1645
			Mailing Date April 26, 2004	

KAC	12.	Kufe, D. (2000). "Smallpox. Polio and Now a Cancer Vaccine?" <i>Nature Med</i> 6(3):252-253.		
	13.	Kugler, A. et al. (2000). "Regression of Human Metastatic Renal Cell Carcinoma After Vaccination With Tumor Cell-Dendritic Cell Hybrids," <i>Nature Med</i> . 6:332-336.		
	14.	Nair, S. K. et al. (1999). "Induction of Carcinoembryonic Antigen (CEA)-Specific Cytotoxic T – Lymphocyte Responses In Vitro Using Autologous Dendritic Cells Loaded with CEA Peptide or CEA RNA in Patients with Metastatic Malignancies Expressing CEA, <i>Int. J. Cancer</i> 82:121-124.		
	15.	Nair, S. K. (1998). "Induction of Primary Carcinoembryonic Antigen (CEA)-Specific Cytotoxic T Lymphocytes In Vitro Using Human Dendritic Cells Transfected with RNA," <i>Nat. Biotechnol</i> . 16:364-369.		
	16.	Schendel, D. J. et al. (2000). "Expression of B7.1 (CD80) in a Renal Cell Carcinoma Line Allows Expansion of Tumor-Associated Cytotoxic T Lymphocytes in the Presence of an Alloresponse," <i>Gene Therapy</i> 7:2007-2014.		
	17.	Schendel, et al. (1993). "Tumor-Specific Recognition of Human Renal Cell Carcinomas by Tumor – Infiltrating Lymphocytes. 1. HLA-A2 Restricted Lysis of Autologous and Aliogeneic Tumor Lines," <i>J. Immunol</i> . 151:4209-4220.		
	18.	Su, Z. et al. (2001). "The Generation of LMP2a-Specific Cytotoxic T Lymphocytes for the Treatment of Patients with Epstein-Barr Virus -Positive Hodgkin Disease," <i>Eur. J. Immunol</i> . 31:947-958.		
	19.	Su, Z. et al. (2002). "Antigen Presenting Cells Transfected with LMP2a RNA Induce CD4+ LMP2a-Specific Cytotoxic T Lymphocytes which Kill Via a Fas-Independent Mechanism," <i>Leukemia and Lymphoma</i> 43(8):1651-1662.		
↓	20.	Visseren, M. J. et al. (1995). "CTL Specific for the Tyrosinase Autoantigen Can Be Induced from Healthy Spender Blood to Lyse Melanoma Cells," <i>J. Immunol</i> . 154:3991-3998.		

EXAMINER:	/Karen A. Canella, Ph.D./	DATE CONSIDERED:	12/11/2006
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.			